

SINCE  1994

# MOSAIC

FINANCIAL ASSOCIATES

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## SERVICE FEE SCHEDULE







Welcome to Mosaic Financial Associates. We are Anthony Williams and Marcus Ortega. Our desire to create our own firm was borne of our vision for a practice that serves as an integral advocate for our clients and the first people they contact when they have an important financial decision to make. Pooling a combined 40+ years of experience and insight, we help clients align the pieces of their financial future, and serve as the Orthopaedist's Financial Advisor.

We are fee-based advisors who offer not only the planning and design but implementation as well. We provide the confidence of knowing you are on track via our customized financial plans and integration with investment and risk management strategies, allowing you to spend more time doing the things you enjoy with the people you love. As fiduciaries, we're legally bound to put your best interests first, and hold ourselves to the highest ethical standards.

ANTHONY C. WILLIAMS, ChFC, MRFC, CLU Founding Partner and President  
Investment Advisor Representative  
MARCUS E. ORTEGA ChFC, RFC Founding Partner and CEO  
Investment Advisor Representative

# MOSAIC FEE SCHEDULE

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## **FEE-ONLY AS A PERCENTAGE OF MANAGED ASSETS**

Clients with investments at Mosaic Financial Associates are subject to an annual asset-based fee, which is paid quarterly and deducted from aggregated assets according to the following schedule:

HOUSEHOLD VALUE BREAKPOINT	FEE RATE
\$0 - \$499,999	1.15%
\$500,000 - \$1,999,999	1.00%
\$2,000,000 - \$4,999,999	0.85%
\$5,000,000 - \$9,999,999	0.70%
\$10,000,000 +	0.60%

Included with this wrap fee, Mosaic Financial Associates provides all administrative services for your accounts such as: Tax Planning Coordination, Account Administration, Rollover IRAs and Retirement Plan Management.



A photograph of two men in suits standing in front of a large, light-colored building. The building features a prominent circular emblem with a star in the center, mounted on its facade. The man on the left is wearing a dark suit and has a beard, while the man on the right is wearing a blue suit and is bald. They are both smiling and standing on a paved area. The background shows architectural details like arches and a balcony.

Our clients work hard  
for their wealth. We  
work hard to help  
them keep it.

[CONTACT US](#)



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Investments in securities do not offer a fix rate of return. Principal, yield and/or share price will fluctuate with changes in market conditions and, when sold or redeemed, you may receive more or less than originally invested. No system or financial planning strategy can guarantee future results.

Securities and advisory services offered through Cetera Advisors LLC, Member FINRA/SIPC, a broker/dealer and a Registered Investment Advisor. Cetera is under separate ownership from any other named entity.